

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Martin, et al.

Confirmation No.: 4826

**Application No.: 09/763,331** 

Group Art Unit: 1638

Filing Date: May 30, 2001

Examiner: Kallis, Russell

SYNTHETIC FATTY ACID DESATURASE GENE FOR EXPRESSION IN

**PLANTS** 

DATE OF DEPOSIT: 7/9/03

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS,

P.O. BOX 1450, ALEXANDRIA, VA

TYPED NAME: Janet E. Reed REGISTRATION NO.: 36,252

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is
being filed either within three months of the filing date of the above-identified

	application, within the	ree months of the date of entry into the national stage of
	the above identified a	pplication as set forth in § 1.491, before the mailing date
	of a first Office Action	on on the merits of the above-identified application, or
	before the mailing da	te of a first Office Action after the filing of request for
	continued examination	n under § 1.114, no additional fee is required.
	In accordance with §	1.129(a), this Information Disclosure Statement is being
	filed in connection w	vith  the first or  second After Final Submission,
	therefore:	
	☐ Certifi	cation in Accordance with § 1.97(e) is attached; or
	The fe	e of \$180.00 as set forth in § 1.17(p) is attached.
$\boxtimes$	In accordance with §	1.97(c), this Information Disclosure Statement is being
	filed after the period	set forth in § 1.97(b) above but before the mailing date of
	either a Final Action	under § 1.113 or a Notice of Allowance under § 1.311, or
	before an action that	otherwise closes prosecution in the application, therefore:
	$\boxtimes$	Certification in Accordance with § 1.97(e) is attached;
		or
		The fee of \$180.00 as set forth in § 1.17(p) is attached.
	In accordance with §	1.97(d), this Information Disclosure Statement is being
	filed after the mailin	g date of either a Final Action under § 1.113 or a Notice
	of Allowance under	§ 1.311 but before, or simultaneously with, the payment
	of the Issue Fee, the	erefore included are: Certification in Accordance with §
	1 97(e): and the subm	nission fee of \$180.00 as set forth in § 1.17(p).

$\boxtimes$	Copies of each of the references listed on the attached Form PTO-1449 are						
	enclosed herewith.						
	Copie	es of references listed on the attached Form PTO-1449 are enclosed					
	herewith						
	EXCEPT THAT:						
		In view of the voluminous nature of references [list as appropriate],					
		and the likelihood that these references are available to the Examiner,					
		copies are not enclosed herewith.					
		In accordance with § 1.98(d), copies of the following references listed					
		on the attached Form PTO-1449 are not enclosed herewith because					
		they were previously cited by or submitted to the U.S. Patent and					
		Trademark Office in patent application(s) for which a claim for priority					
	under 35 U.S.C.§ 120 have been made in the instant application:						
		Copies of references [list as appropriate] listed on the					
		attached Form PTO-1449 were previously cited by or submitted					
		to the Patent and Trademark Office in prior Application No.					
		, filed .					

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Enclosed is a copy of the European Search Report dated May 14, 2003, which indicates the references to be relevant.

Date: 7/9)

Janet E. Reed Registration No. 36,252

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**DOCKET NO.: RUBC-0025** 



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TYPED NAME: Janet E. Reed REGISTRATION NO.: 36,252

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **CERTIFICATION UNDER 37 CFR § 1.97(e)**

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

Each item of information contained in the information disclosure statement  $\boxtimes$ was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: 7/9/03

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Registration No. 36,252

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<ul> <li>Form PTO-1449 Modified</li> <li>List of Patent and Publications         Cited by Applicant         (Use several sheets if necessary)</li> <li>U.S. Department of Commerce         Patent and Trademark Office</li> </ul>				Docket No. RUBC-0025			
				Applicant Martin, et al.			
				Filing Date May 30, 2001	Group 1638		
				Confirmation No. 4826			
		U. S	S. PATENT	DOCUMENTS			
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Initial		Document No.	Date 02/21/00	<b>Country</b> EPO		YES	NO
	32	EP 0 359 472 A2	03/21/90	PCT			
	33	WO 90/10076	09/07/90	PCT	·		
	34	WO 99/50430	10/07/99	101			
				DATE CONSID	EDED		





Form PTO-	-1449 Modified	Docket No. RUBC-0025	Application No. 09/763,331			
· Cited b	and Publications y Applicant heets if necessary)	Applicant Martin, et al.  Filing Date May 30, 2001  Group 1638				
U.S. Departm Patent and T	nent of Commerce  Trademark Office					
		Confirmation No. 4826				
OTHER	R DOCUMENTS (Includ	ling Author, Title,	Date, Pertinent Pages, Etc.)			
30	Hybrida with Yeast DELTA-9 Fatty Acid Desaturase", Journal of Plant Growth Regulation, 1994, 15, 113-116, XP-002089854  Ishizaki-Nishizawa, O. et al., "Low-Temperature Resistance of Higher Plants is SIgnificiantly Enhanced by a Nonspecific Cyanobacterial Desaturase", Nature Biotechnology, 1996, 14(1), 1003-1006, XP 002057130					
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EXAMINER		DATE	CONSIDERED			